

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. B98-039-4

First Named Inventor or Application Identifier Portnoy et al.

Title Intracellular Delivery Vehicles

Express Mail Label No. EV324953061US

17958 U.S. PRO
10/627452
07/25/03

ADDRESS TO: **Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. *Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status (see 37 CFR 1.27)
3. Specification (Total Pages 32)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. Drawings(s) (35 USC 113) (Total Sheets 2)
5. Oath or Declaration (Total Pages 1)
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper
- c. Statement verifying identity of above copies
- d. Request to use CRF from another application

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))

10. 37 CFR 3.73(b) Statement (where there is an assignee)

Power of Attorney

11. English Translation Document (if applicable)

12. a. Information Disclosure Statement (IDS)/PTO-1449

b. Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Other: Transmittal Letter (1p)

17. Priority

This application claims priority to prior application No: 09/949,109, filed September 7, 2001, having the same title and inventors

Prior application information: Examiner Lacourciere, K. Group Art Unit 1635

The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Correspondence Address

Customer Number or Bar Code Label

23379

(Insert Customer No. or Attach Bar Code Label here)

or

Correspondence Address Below

NAME Richard Aron Osman

SCIENCE & TECHNOLOGY LAW GROUP

ADDRESS 75 Denise Drive

CITY Hillsborough STATE California ZIP CODE 94010

Country U.S.A. TELEPHONE (650) 343-4341 FAX (650) 343-4342

Name: Richard Aron Osman Registration No: 36,627

Signature:  Date: July 25, 2003

07/25/03

PTO/SB/17(09-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL EFFECTIVE JANUARY 1, 2003

Fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) \$415.00

Complete if Known:

Application No. Not yet assigned

Filing Date Herewith

First Named Inventor Portnoy et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. B98-039-4

METHOD OF PAYMENT (check one)

1. [X] The Commissioner is hereby authorized to charge indicated fees to:

[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any over payments to:

[X] Applicant claims small entity status. See 37 CFR 1.27

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	750	201	375	Utility application filing fee	375.
106	330	206	165	Design application filing fee	
107	520	207	260	Plant filing fee	
108	750	208	375	Reissue filing fee	
114	160	214	80	Provisional application filing fee	
				SUBTOTAL (1)	\$ 375.00

2. CLAIMS

	<u>Extra</u>	<u>below</u>	<u>Fee Paid</u>
Total Claims <u>12</u>	<u>- 20</u> = <u>0</u>	X _____	= <u>0</u>
Independent Claims <u>3</u>	<u>- 3</u> = <u>0</u>	X _____	= <u>0</u>
Multiple Dependent Claims	<u>0</u>	X _____	= <u>0</u>

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)		
103	18	203	9	Claims in excess of twenty	0
102	84	202	42	Independent claims in excess of 3	0
104	280	204	140	Multiple dependent claim	0
109	84	209	42	Reissue independent claims over original patent	0

SUBTOTAL (2) \$0

FEE CALCULATION (continued)

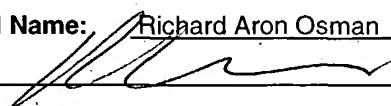
3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for reexamination
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
116	410	216	205
117	930	217	465
118	1,450	218	725
128	1,970	228	985
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
			Petition to institute a public use proceeding
			Petition to revive unavoidably abandoned application
141	1,300	241	650
			Petition to revive unintentionally abandoned application
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
			Recording each patent assignment per property (times number of properties)
146	750	246	375
			For filing a submission after final rejection (see 37 CFR 1.129(a))
149	750	249	375
			For each additional invention to be examined (see 37 CFR 1.129(a))
Other fee (specify)			
Other fee (specify)			

SUBTOTAL (3) \$ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Richard Aron Osman
 Signature  Date July 25, 2003
 Reg. Number 36,627 Deposit Account User ID _____
 (complete if applicable)